

NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: <b>Meta Materials Inc</b>	Case Number: <b>24-50792-hlb</b>	<b>RECEIVED AND FILED AM</b> <b>DEC 16 2024</b> <b>U.S. BANKRUPTCY COURT</b> <b>MARY A. SCHOTT, CLERK</b>
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"):  Jonathan P. Duran 4203 Union Deposit Road Number 1203 Harrisburg, PA 17111  Telephone Number: 646-771-5283	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.  <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.  <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
<b>NOTE:</b> This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: <b>487-683307/8536-1361</b>	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	COURT USE ONLY
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:  Jonathan P. Duran/TD Ameritrade+Charles.  Telephone Number: 800-669-3900	3. Date Equity Interest was acquired:  <b>2/18/2021</b>	
4. Total amount of member interest: <b>676 For \$ 7895.30</b>	5. Certificate number(s): <b>See Attached Documentation</b>	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: Investor		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.)	<input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.)	<input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.)
I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. Print Name: <b>Jonathan P. Duran</b> Title: <b>Individual.</b> Company: _____ Address and telephone number (if different from notice address above): _____ _____		
(Signature) <b>Jonathan Duran</b> Telephone number: <b>646-771-5283</b> Email: <b>nostrad2020@outlook.com</b>		12/09/2024 (Date)

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*



Schwab One® Account of  
Charles  
SCHWAB

JONATHAN P DURAN

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Account Number 8536-1361 Statement Period January 1-31, 2024

## Account Summary

Beginning Account Value as of 01/01	Total Value Change (\$)
\$2,305.51	(\$160.07)



## Manage Your Account

Doc 865 Customer Service and Trading:  
Call your Schwab Representative  
800-435-4000  
24/7 Customer Service

For the most current records on your account  
Visit schwab.com/login. Statements are  
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## Commitment to Transparency

Client Relationship Summaries and Best Interest  
Disclosures are at schwab.com/transparency.  
Charles Schwab & Co., Inc. Member SIPC.

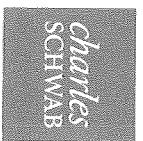
## Online Assistance

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Visit schwab.com/stmt to explore the features  
and benefits of this statement.

	Feb'23	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan'24	
Beginning Value													This Statement
Deposits													Previous Statement
Withdrawals													Change
Dividends and Interest													
Transfer of Securities													
Market Value Change													
Fees													
<b>Ending Value w</b>													<b>\$2,145.44</b>
													<b>\$2,305.51</b>
													<b>(-\$160.07)</b>

Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.



Schwab One® Account of  
JONATHAN P DURAN

Statement Period  
January 1-31, 2024

Asset Allocation	
	Investment Objective: Growth
Cash and Cash Investments	11.00
Equities	<1%
<b>Total</b>	<b>2,134.44</b>

	This Period	Current Allocation
	11.00	<1%
	2,134.44	99%
<b>Total</b>	<b>\$2,145.44</b>	<b>100%</b>

Top Account Holdings This Period

Symbol	Description	Market Value	% of Accounts
JENB	RENOVARO BIOSCIENCES INC	843.41	39%
AMC	AMC ENTERTAINMENT	652.05	30%
KPLT	KATAPULT HOLDINGS INC	193.49	9%
EBAT	CBAK ENERGY TECHNOLOGY I	177.45	8%
COIN	COINBASE GLOBAL INC	128.20	6%

Gain or (Loss) Summary

	Short-Term (\$)			Long-Term (L)		
	Gain	(Loss)	Net	Gain	(Loss)	Net
This Period	0.00	0.00	0.00	0.00	0.00	0.00
YTD	0.00	0.00	0.00	0.00	0.00	0.00
<b>Inrealized</b>				<b>(\$32,981.95)</b> <sup>i</sup>		

**Case 24-50792-hlb** Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.



Schwab One® Account of  
Statement Period

JONATHAN P DURAN  
January 1-31, 2024

**Positions - Equities (continued)**

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
EBIG	VINCO VENTURES INC	49.0000	0.01000	0.49	4,554.52	(4,554.03)	N/A	0.00	<1%
<b>Total Equities</b>									

**Positions - Unpriced Securities**

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)
NEXT BRIDGE HYDROCARBONS		18.0000			N/A	i	N/A	
SOPHIRIS BIO INC	F			1,299.0000	9,136.72		N/A	
SYNERGY PHARMA		94.0000			231.47		N/A	

**Total Unpriced Securities**

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

**Transactions - Summary**

Beginning Cash*	as of 01/01	+	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+	Dividends/Interest	+	Fees	=	Ending Cash* as of 01/31
<b>\$11.00</b>			<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>\$11.00</b>

Other Activity **\$0.00** Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.  
Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

**Transaction Details**

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
01/29	Other Activity	Reverse Split	MMAT	META MATLS INC	7.0000				
	Other Activity	Reverse Split	METAMATLS INC	XXX REVERSE SPLIT	(676.0000)				

**Total Transactions**

**\$0.00**

**\$0.00**



Schwab One® Account of  
Statement Period

JONATHAN P DURAN  
January 1-31, 2024

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## Positions - Summary

Beginning Value as of 01/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	Ending Value as of 01/31	Cost Basis	Unrealized Gain/(Loss)
<b>\$2,305.51</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>\$0.00</b>		<b>(\$160.07)</b>		<b>\$2,145.44</b>	<b>\$44,481.34</b>	<b>(\$32,981.95)</b>

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

## Cash and Cash Investments

Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct
BANK SWEEP	TD BANK NA X.Z			11.00	11.00	0.00		0.45%	<1%
<b>Total Cash and Cash Investments</b>				<b>\$11.00</b>	<b>\$11.00</b>	<b>\$0.00</b>			<b>&lt;1%</b>

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## Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
AMC	AMC ENTERTAINMENT	161.0000	4.05000	652.05	6,162.35	(5,513.54)	N/A	0.00	30%
CBAT	CBAK ENERGY TECHNOLOGY I	169.0000	1.05000	177.45	1,422.98	(1,245.53)	N/A	0.00	8%
CENN	CENNTRIO ELECTRIC GROUP F	8.0000	1.19000	9.52	1,999.43	(1,989.91)	N/A	0.00	<1%
COIN	COINBASE GLOBAL INC	1.0000	128.20000	128.20	354.80	(226.60)	N/A	0.00	6%
DCTO	EIGHTCO HOLDINGS INC	2.0000	0.62110	1.24	2,136.38	(2,135.14)	N/A	0.00	<1%
KOON	KARTOON STUDIOS INC	29.0000	1.22000	35.38	1,258.05	(1,222.67)	N/A	0.00	2%
KPLT	KATAPULT HOLDINGS INC	18.0000	10.74970	193.49	3,014.88	(2,821.39)	N/A	0.00	9%
KRA	KINETX INC	3.0000	2.58000	7.74	1,847.97	(1,840.23)	N/A	0.00	<1%
MAT	METAMATLS INC	7.0000	3.82000	26.74	7,895.30	(7,868.56)	N/A	0.00	1%
OCGN	OCUGEN INC	60.0000	0.53590	32.15	922.21	(890.06)	N/A	0.00	1%
PHUN	PHUNWARE INC	83.0000	0.32020	26.58	552.78	(526.20)	N/A	0.00	1%
RENB	RENOVARO BIOSCIENCES INC	193.0000	4.37000	843.41	2,991.50	(2,148.09)	N/A	0.00	39%



## Schwab One® Account of

JONATHAN P DURAN

Statement Period  
January 1-31, 2024

## Positions - Equities (continued)

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Gain/(Loss)(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
BIG	VINCO VENTURES INC	49.0000	0.01000	0.49	4,554.52	(4,554.03)	N/A	0.00	0.00	<1%

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## Total Equities

## Positions - Unpriced Securities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Gain/(Loss)(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
NEXT BRIDGE HYDROCARBONS		18.0000			N/A		N/A			
SOPHIRIS BIO INC	F	1,299.0000		9,136.72			N/A			
SYNERGY PHARMA		94.0000		231.47			N/A			

## Total Unpriced Securities

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

## 115 transactions - Summary

Beginning Cash* as of 01/01	+/- Deposits	+/- Withdrawals	+/- Purchases	+/- Sales/Redemptions	+/- Dividends/Interest	+/- Fees	= Ending Cash* as of 01/31
\$11.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$11.00

Other Activity **\$0.00** Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

Case 24-56792-hlb  
Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

## Transaction Details

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)	Realized Gain/(Loss)(\$)
01/29	Other Activity	Reverse Split	MMAT	META MATLS INC	7.0000				
	Other Activity	Reverse Split	MATLS INC	META MATLS INC	(676.0000)				

## Total Transactions

\$0.00

\$0.00



Schwab One® Account of  
Statement Period

JONATHAN P DURAN  
January 1-31, 2024

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## Positions - Summary

Beginning Value as of 01/01	Transfer of Securities(in/Out)	Dividends Reinvested	Change in Market Value	Ending Value as of 01/31
\$2,305.51	\$0.00	\$0.00	(\$160.07)	\$2,145.44
				\$44,481.34 i (\$32,981.95) i

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## Cash and Cash Investments

Type	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct
Bank Sweep		TD BANK NA x.z			11.00	11.00	0.00		0.45%	<1%
<b>Total Cash and Cash Investments</b>					<b>\$11.00</b>	<b>\$11.00</b>	<b>\$0.00</b>			<b>&lt;1%</b>

## Positions - Equities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)	% of Acct
AMC	AMC ENTERTAINMENT	161.0000	4.05000	652.05	6,162.35 i	(5,513.54) i	N/A	0.00	30%
CBAT	CBAK ENERGY TECHNOLOGY I	169.0000	1.05000	177.45	1,422.98	(1,245.53)	N/A	0.00	8%
CENN	CENNTRIO ELECTRIC GROUP F	8.0000	1.19000	9.52	1,999.43	(1,989.91)	N/A	0.00	<1%
COIN	COINBASE GLOBAL INC	1.0000	128.20000	128.20	354.80	(226.60)	N/A	0.00	6%
OCTO	EIGHTCO HOLDINGS INC	2.0000	0.62110	1.24	2,136.38	(2,135.14)	N/A	0.00	<1%
KOON	KARTOON STUDIOS INC	29.0000	1.22000	35.38	1,258.05	(1,222.67)	N/A	0.00	2%
KPLT	KATAPULT HOLDINGS INC	18.0000	10.74970	193.49	3,014.88	(2,821.39)	N/A	0.00	9%
KKA	KINETAKA INC	3.0000	2.58000	7.74	1,847.97	(1,840.23)	N/A	0.00	<1%
MIMAT	METAMATLS INC	7.0000	3.82000	26.74	7,895.30	(7,868.56)	N/A	0.00	1%
OCGN	OCUGEN INC	60.0000	0.53590	32.15	922.21	(890.06)	N/A	0.00	1%
PHUN	PHUNWARE INC	83.0000	0.32020	26.58	552.78	(526.20)	N/A	0.00	1%
RENB	RENOVARO BIOSCIENCES INC	193.0000	4.37000	843.41	2,991.50	(2,148.09)	N/A	0.00	39%

**Statement for Account # 487-683307**

06/01/21 - 06/30/21

**Important Information****FINRA INFORMATION**

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit [brokercheck.finra.org](http://brokercheck.finra.org). Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site [www.finra.org](http://www.finra.org) or by calling (800) 289-9999, the BrokerCheck Hotline Number.

**EXCESS BANK CHANGE AND FDIC INSURED DEPOSIT ACCOUNT ("IDA") ELIGIBILITY CHANGES**

The following changes have been made to the TD Ameritrade FDIC Insured Deposit Account Sweep Program.

Effective June 30, 2021:

- **Excess Bank Change** – TD Ameritrade has changed the "Excess Bank" for the IDA Sweep Program from TD Bank USA, N.A. to Charles Schwab Bank, SSB. This change will not impact the level of your available FDIC insurance. The list of Program Banks, including "the Excess Bank" can be found on our website at [tdameritrade.com/fidaprogrambanks](http://tdameritrade.com/fidaprogrambanks).

Effective July 1, 2021:

- **Cross Border Cash Eligibility Change** – Brokerage accounts held by clients who reside outside of the United States and its territories are no longer eligible for the IDA Sweep Feature. Going forward, accounts enrolled in the IDA Sweep Feature that move out of the United States and its territories will be moved to the TD Ameritrade Cash Feature. TD Ameritrade *will provide at least 30 days' advance written notice for changes to an account cash feature*.

- **IDA Asset Eligibility Change** – Other than managed accounts or retirement accounts, retail brokerage accounts with assets less than \$50,000 are not automatically eligible for the IDA Sweep Feature. TD Ameritrade may change the cash feature for these accounts from the IDA Sweep Feature to the TD Ameritrade Cash Feature. TD Ameritrade *will provide at least 30 days' advance written notice for changes to an account cash feature*.

**No action is required.** For more information, please refer to the Cash Features Program section in your Client Agreement.



**Statement for Account #487-683307**

06/01/21 - 06/30/21

Online Cash Services Summary										Year To Date	
Current											
Description											
<b>GREDITS</b>											
Electronic Transfer										\$ 10,460.00	
<b>Subtotal</b>										\$ 10,460.00	
<b>DEBITS</b>											
Electronic Transfer										\$ (1,221.44)	
<b>Subtotal</b>										\$ (1,221.44)	
<b>TOTAL</b>										9,238.56	
(599.53)											
<b>Entered 12/17/24 14:13:45</b>											
<b>Description</b>											
DA Interest											
<b>Income Summary Detail*</b>											
<b>Current</b>											
\$ 0.00										\$ 0.01	
<b>Account Positions</b>											
<b>Stocks - Cash</b>											
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost	Average Cost	Unrealized Gain(Loss)	Income	Estimated Yield	
AMC ENTERTAINMENT HOLDINGS INC DOM CL A	AMC	539	\$ 56.68	\$ 30,550.52	01/28/21	\$ 5,808.74	\$ 10.78	\$ 24,741.78	\$ -	\$ -	
CBAK ENERGY TECHNOLOGY INC COM	CBAT	169	4.71	795.99	01/07/21	1,422.98	8.42	(626.99)	-	-	
COINBASE GLOBAL INC COIN INC	COIN	1	253.30	253.30	04/14/21	354.80	354.80	(101.50)	-	-	
ENOCIAN BIOSCIENCES ENOB INC COM	ENOB	193	4.97	959.21	06/08/20	2,991.50	15.50	(2,032.29)	-	-	
GENIUS BRANDS INTL INC GNUS COM	GNUS	290	1.84	533.60	06/11/20	1,258.05	4.34	(724.45)	-	-	
META MATERIALS INC MMAT COM	MMAT	676	7.49	5,063.24	02/18/21	7,895.30	11.68	(2,832.06)	-	-	

\*This section displays current and year to date totals for this account. The year to date totals will accurately reflect your cumulative amount for the year. Year-end tax reporting income amounts may differ from what is reflected on monthly statements versus your tax documents. Please reference your official tax document(s) for tax reporting.



Statement Reporting Period:  
06/01/21 - 06/30/21

800-669-3900  
TD AMERITRADE  
DIVISION OF TD AMERITRADE INC  
PO BOX 2209  
OMAHA, NE 68103-2209  
TD Ameritrade Clearing, Inc., Member SIPC

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800-669-3900

TD AMERITRADE

DIVISION OF TD AMERITRADE INC

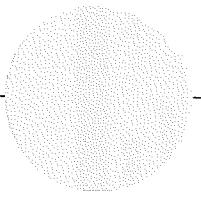
PO BOX 2209

OMAHA, NE 68103-2209

TD Ameritrade Clearing, Inc., Member SIPC

**Statement for Account # 487-683307**

JONATHAN P DURAN  
3055 3RD AVE  
APT 6B  
BRONX, NY 10451-4607

Investment	Current Value	Prior Value	Portfolio Summary		Estimated Income	Estimated Yield	Portfolio Allocation
			Period Change	% Change			
Cash	(\$38.00)	\$ -	(\$38.00)	-	\$ -	-	-
Instd Dep Acct (IDA)	-	\$ -	-	-	\$ -	-	-
Money Market	-	\$ -	-	-	\$ -	-	-
Short Balance	-	\$ -	-	-	\$ -	-	-
Stocks	40,863.86	28,777.98	12,085.88	42.0%	\$ -	-	-
Short Stocks	-	\$ -	-	-	\$ -	-	-
Fixed Income	-	\$ -	-	-	\$ -	-	-
Options	-	\$ -	-	-	\$ -	-	-
Short Options	-	\$ -	-	-	\$ -	-	-
Mutual Funds	-	\$ -	-	-	\$ -	-	-
Other	-	\$ -	-	-	\$ -	-	-
<b>Total</b>	<b>\$40,825.86</b>	<b>\$28,777.98</b>	<b>\$12,047.88</b>	<b>41.9%</b>	<b>\$ 0.00</b>	<b>0.0%</b>	

Cash Activity Summary		Income & Expense Summary		Performance Summary	
Current	YTD	Reportable	Non Reportable	YTD	
<b>Opening Balance</b>	\$ 0.00	(\$24.97)	<b>Income</b>		
Securities Purchased	(11,200.25)	(32,451.40)	Dividends	\$ -	\$ -
Securities Sold	11,799.78	23,237.80	Interest	\$ -	\$ -
Funds Deposited	-	10,460.00	Other	\$ -	\$ -
Funds Disbursed	(599.53)	(1,221.44)	<b>Expense</b>		
Income	-	-	Interest		
Expense	(38.00)	(38.00)	Fees		
Other	-	0.01	Other		
<b>Closing Balance</b>	<b>(\$38.00)</b>	<b>(\$38.00)</b>	<b>Net</b>	<b>\$0.00</b>	<b>(\$38.00)</b>

\*\*To view realized gains and losses for your account, login at [www.tdameritrade.com](http://www.tdameritrade.com) and visit My Account > Cost Basis.

**Statement for Account # 487-683307**  
 06/01/21 - 06/30/21

Insured Deposit Account Activity						Tracking Code	Expense Code	Amount	Balance
Date Cleared	Check Number	Date Written	Transaction	Description					
06/11/21	-	06/11/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT				\$ 327.09	\$ 0.00
06/14/21	-	06/14/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT				3,351.31	3,678.40
06/15/21	-	06/15/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT				(414.11)	3,264.29
06/18/21	-	06/18/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT				(3,260.25)	4.04
06/24/21	-	06/24/21	Received	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC PURCHASE FDIC INSURED DEPOSIT ACCOUNT				181.38	185.42
06/25/21	-	06/25/21	Delivered	FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC REDEMPTION FDIC INSURED DEPOSIT ACCOUNT				(185.42)	0.00
<b>Closing Balance</b>								<b>\$ 0.00</b>	

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**BREAKPOINTS**  
 Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to <http://www.finra.org/industry/issues/breakpoints>. For more information on waiver eligibility, please refer to the fund prospectus.

**Important Information**

**STATEMENT OF FINANCIAL CONDITION**  
 The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html> or by contacting TD Ameritrade Clearing, Inc. at 1-800-669-3900. As of March 31, 2021, TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$4.8 billion and \$924 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of TD Ameritrade Clearing, Inc. in Omaha Nebraska.

**Statement for Account # 487-683307**

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Account Activity						
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity
						Price
06/28/21	06/28/21	Cash	Delivered - Other	TORCHLIGHT ENERGY RESOURCES IN 1:2 R/S 6/28/21 59134N104 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	TRCH	1,352-
06/28/21	06/28/21	Cash	Received - Other	META MATERIALS INC COM 1:2 REVERSE SPLIT TO META MATERIALS INC 59134N104 Auto Reorg#539843 REVERSE SPLIT	MMAT	676
06/28/21	06/28/21	Cash	Journal - Expense	MANDATORY REORGANIZATION FEE Auto Reorg#539843	89102U103	-
<b>Closing Balance</b>						
*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.						
Insured Deposit Account Interest Credited						
Begin Date		Balance	Number of Days	Interest Rate	Interest Accrued	MTD Accrued
06/11/21		\$ 327.09	3	0.0100	\$ -	\$ -
06/14/21		3,678.40	1	0.0100	-	-
06/15/21		3,264.29	3	0.0100	-	-
06/18/21		4.04	6	0.0100	-	-
06/24/21		185.42	1	0.0100	-	-
06/25/21		0.00	3	0.0100	-	-
<b>Total Interest Income</b>						
\$0.00						

**Statement for Account # 487-683307**  
 06/01/21 - 06/30/21

Account Activity									Symbol/ CUSIP	Quantity	Price	Amount	Balance
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*		Description								
			<b>Opening Balance</b>										
06/09/21	06/11/21	Cash	Sell - Securities Sold		SUNDIAL GROWERS INC COM			SNDL		961-	\$ 1.13	\$ 1,085.81	\$ 0.00
06/09/21	06/11/21	Cash	Buy - Securities Purchased		Regulatory Fee 0.12 AMC ENTERTAINMENT HOLDINGS AMC INC COM CLA					16	47.42	(758.72)	327.09
06/11/21	06/11/21	Cash	Journal - Other		PURCHASE FDIC INSURED DEPOSIT ACCOUNT					-	0.00	(327.09)	0.00
06/10/21	06/14/21	Cash	Sell - Securities Sold		OCUGEN INC COM Regulatory Fee 0.16			OCGN		979-	7.0901	6,941.05	6,941.05
06/10/21	06/14/21	Cash	Buy - Securities Purchased		OCUGEN INC COM PURCHASE FDIC INSURED DEPOSIT ACCOUNT			OCGN		539	6.66	(3,589.74)	3,351.31
06/14/21	06/14/21	Cash	Journal - Other		ACH OUT - 06/14/2021 06:32PM					-	0.00	(3,351.31)	0.00
06/14/21	06/15/21	Cash	- Funds Disbursed		REDEMPTION FDIC INSURED DEPOSIT ACCOUNT					-	0.00	(414.11)	(414.11)
06/15/21	06/15/21	Cash	Journal - Other		TORCHLIGHT ENERGY RESOURCES INC 12 R/S 6/28/21 59134N104			TRCH		567	5.75	(3,260.25)	(3,260.25)
06/16/21	06/18/21	Cash	Buy - Securities Purchased		REDEMPTION FDIC INSURED DEPOSIT ACCOUNT					-	0.00	3,260.25	0.00
06/18/21	06/18/21	Cash	Journal - Other		OCUGEN INC COM Regulatory Fee 0.08			OCGN		539-	7.00	3,772.92	3,772.92
06/22/21	06/24/21	Cash	Sell - Securities Sold		TORCHLIGHT ENERGY RESOURCES INC 12 R/S 6/28/21 59134N104			TRCH		486	7.39	(3,591.54)	181.38
06/22/21	06/24/21	Cash	Buy - Securities Purchased		PURCHASE FDIC INSURED DEPOSIT ACCOUNT					-	0.00	(181.38)	0.00
06/24/21	06/24/21	Cash	Journal - Other		ACH OUT - 06/24/2021 06:26PM					-	0.00	(185.42)	(185.42)
06/24/21	06/25/21	Cash	- Funds Disbursed		REDEMPTION FDIC INSURED DEPOSIT ACCOUNT					-	0.00	185.42	0.00
06/25/21	06/25/21	Cash	Journal - Other										

**Statement for Account # 487-683307**  
 06/01/21 - 06/30/21

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Stocks - Cash

Investment Description	Symbol/ CUSIP	Account Positions			Cost Basis	Average Cost	Unrealized Gain(Loss)	Income	Estimated Yield
		Current Quantity	Market Value	Purchase Date					
NAKED BRAND GROUP LTD	NAKD	1,117	0.6449	720.35 02/11/21	1,999.43	1.79	(1,279.08)	-	-
CVR COM									
PROGENICS PHARMCAL S	743CVR052	451	NA	NA 12/21/20	-	-	-	-	-
SOPHRIS BIO INC COM	SPHS	1,299	0.0189	24.55 08/19/16	9,136.72	7.03	(9,112.17)	-	-
SYNERGY PHARMACEUTICALS INC DELISTED 5/2/2019	871639308	94	NA	NA 01/24/18	231.47	2.46	(231.47)	-	-
URBAN ONE INC COM	UONEK	340	5.02	1,706.80 06/17/20	2,050.84	6.03	(344.04)	-	-
YUMANITY THERAPEUTICS INC COM	YMTX	22	11.65	256.30 12/11/19	1,936.00	88.00	(1,679.70)	-	-
Entered 12/17/24									
Total Stocks			\$40,863.86	\$35,085.83	\$5,778.03	\$0.00	0.0%		
Doc 865 Total Cash Account			\$40,863.86	\$35,085.83	\$5,778.03	\$0.00	0.0%		
<b>Online Cash Services Transaction Detail</b>									
Category	Transaction Date	Description	Amount						
DEBITS									
Electronic Transfer	06/15/2021	ACH OUT - 06/14/2021 06:32PM	\$ (414.11)						
<i>Subtotal</i>	06/25/2021	ACH OUT - 06/24/2021 06:26PM	(185.42)						
<b>TOTAL</b>			(599.53)						
Case 24-50792-hlb			(599.53)						